

09/28/01
U.S. PTO

Please type a plus sign (+) inside this box → +

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 2207/12557

First Inventor B. Barnmore

Title METHOD FOR CONTROL OF CODECS IN PC RISER CARD ARCHITECTURES

Express Mail Label No.

jc714 U.S. PRO
09/28/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 19]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C.113) [Total Sheets 7]
5. Oath or Declaration [Total Pages 2]
- a. Newly executed (original or copy)
 b. Copy from a prior application (37 CFR 1.63 (d))
 (for a continuation/divisional with Box 18 completed)
 i. **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 a. Computer Readable Form (CRF)
 b. Specification Sequence Listing on:
 i. CD-ROM or CD-R (2 copies); or
 ii. paper
 c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

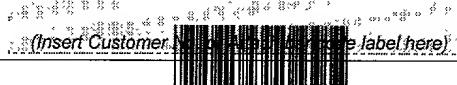
9. Assignment Papers (cover sheet & document(s))
 10. 37 C.F.R. §3.73(b) Statement Power of Attorney (when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other:

18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

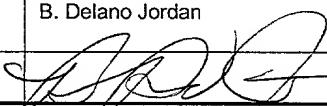
Continuation Divisional Continuation-in-part (CIP) of prior application No:
 Cross Reference to Related Application included in Preliminary Amendment Attached. Note. A cross reference to related application(s) must be filed with the USPTO before four months from the filing date of this continuing application

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label  or Correspondence address below
(Insert Customer Number or Bar Code Label here)

| | | | |
|---------|----------------------------------|-----------|----------|
| Name | | | |
| | | | |
| Address | 23838 PATENT TRADEMARK OFFICE | | |
| | | | |
| City | | State | Zip Code |
| Country | | Telephone | Fax |

| | | | |
|-------------------|---|-----------------------------------|--------|
| Name (Print/Type) | B. Delano Jordan | Registration No. (Attorney/Agent) | 43,698 |
| Signature |  | | Date |
| | | September 28, 2001 | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231. DC01 383056 v1

jc714 U.S. PRO
09/28/01

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 906

Complete if Known

| | |
|----------------------|--------------------|
| Application Number | Unassigned |
| Filing Date | September 28, 2001 |
| First Named Inventor | B. BARMORE |
| Examiner Name | Unassigned |
| Group / Art Unit | Unassigned |
| Attorney Docket No. | 2207/12557 |

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

11-0600

Deposit Account Name

Kenyon & Kenyon

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

 Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Fee Code | Large Entity Fee (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------|-----------------------|----------------------------|--|----------|
| 105 | 130 | 205 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | Surcharge - late provisional filing fee or cover sheet. | |
| 139 | 130 | 139 | Non-English specification | |
| 147 | 2,520 | 147 | For filing a request for reexamination | |
| 112 | 920* | 112 | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | Extension for reply within first month | |
| 116 | 390 | 216 | Extension for reply within second month | |
| 117 | 890 | 217 | Extension for reply within third month | |
| 118 | 1,390 | 218 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | Extension for reply within fifth month | |
| 119 | 310 | 219 | Notice of Appeal | |
| 120 | 310 | 220 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | Request for oral hearing | |
| 138 | 1,510 | 138 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | Petition to revive – unavoidable | |
| 141 | 1,240 | 241 | Petition to revive – unintentional | |
| 142 | 1,240 | 242 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | Design issue fee | |
| 144 | 600 | 244 | Plant issue fee | |
| 122 | 130 | 122 | Petitions to the Commissioner | |
| 123 | 130 | 123 | Petitions related to provisional applications | |
| 126 | 180 | 126 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

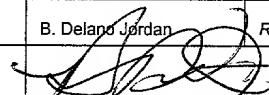
SUBTOTAL (3)

(\$ 0

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|---|---------------------------------|--------|-----------|--------------------|
| Name (Print/Type) | B. Delano Jordan | Registration No Attorney/Agent) | 43,698 | Telephone | (202) 220-4200 |
| Signature |  | | | Date | September 28, 2001 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.